

## Governance & Audit Committee Plan Appendix 1

Terms of Reference	Report Title	Report Summary	Report Author	Date of Meeting
Internal Audit	<b>Internal Audit Annual Plan 2021/21 – Quarter 4 Monitoring Report.</b>	This report summarises the audits finalised and work undertaken by the Internal Audit Section in Quarter 4 2021/22.	Simon Cockings	15 Jun 2022
Internal Audit	<b>Response to the Freedom of Information Audit 2022.</b>	The review report was issued in April 2022 with a Moderate rating. The attached report summarises the position and the progress made.	Sarah Lackenby	15 Jun 2022
Internal Audit	<b>Service Centre – Accounts Receivable – Moderate Report.</b>	The Accounts Receivable Internal Audit report was issued in April 2022 with a Moderate rating. The attached report summarises the position and the progress made.	Sian Williams, Michelle Davies	15 Jun 2022
Internal Audit	<b>Internal Audit Recommendation Follow-Up Report Q4 2021/22.</b>	This report provides committee with the status of the recommendations made in those audits where the follow-ups have been undertaken in Q4 2021/22, to allow the Governance and Audit Committee to monitor the implementation of recommendations made by Internal Audit.	Simon Cockings	15 Jun 2022

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External Audit	<b>Audit Wales - 2022 Audit Plan – City and County of Swansea Council.</b>	This document sets out the work planned to undertake during 2022 to discharge the statutory responsibilities of Audit Wales as external auditors and to fulfil the obligations under the Code of Audit Practice.	Jeremy Parkhouse	15 Jun 2022
External Audit	<b>Audit Wales Work Programme and Timetable – City and County of Swansea Council.</b>	Quarterly Update.	Justine Morgan	15 Jun 2022
Governance & Assurance	<b>Governance &amp; Audit Committee Action Tracker Report.</b>	This report details the actions recorded by the Governance & Audit Committee and response to the actions.	Jeremy Parkhouse	15 Jun 2022
Governance & Assurance	<b>Governance &amp; Audit Committee – Work Plan 2022/23.</b>	This report details the Governance & Audit Committee Workplan to May 2023.	Jeremy Parkhouse	15 Jun 2022
Governance & Assurance	<b>Annual Complaints Report - Six Month Update.</b>	The report provides a six-month progress update.	Sarah Lackenby	13 Jul 2022
Internal Audit	<b>Annual Report of School Audits 2021-22.</b>	The report provides a summary of the school audits undertaken by the Internal Audit Section during 2021/22 and identifies some common issues found during testing.	Nick Davies	13 Jul 2022
External Audit	<b>Audit Wales - Audit of Accounts Report – City and County of Swansea.</b>	Audit Wales summarise the main findings from their audit of the Council's 2021-22 accounts in this report.	Jeremy Parkhouse	13 Jul 2022

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External Audit	<b>Audit Wales - Financial Sustainability Assessment - City &amp; County of Swansea.</b>	Audit Wales 2021-22 assessment on the financial sustainability of the Council.	Jeremy Parkhouse	13 Jul 2022
Internal Audit	<b>Corporate Fraud Annual Report.</b>	The Annual Performance summary of the actions and outcomes of the work undertaken by the Internal Audit Fraud Function 2021/2022.	Jonathon Rogers, Jeff Fish	13 Jul 2022
Financial Reporting	<b>Statement of Accounts 2021-22.</b>	To receive and approve the Statement of Accounts 2021/22.	Ben Smith	13 Jul 2022
Governance & Assurance	<b>Update Report South West Wales Corporate Joint Committee.</b>	To provide an update on the progress towards setting up the new corporate joint committee for the south west wales region.	Martin Nicholls	13 Jul 2022
Governance & Assurance	<b>Workforce Strategy - Update.</b>	Progress Report.	Adam Hill, Adrian Chard	13 Jul 2022